Institution: University of Cape Town

Expires: **09/05/2027**

U.S. Department of Health and Human Services (HHS) Registration of an Institutional Review Board (IRB)

This form is used by institutions or organizations operating IRBs that review:

- a) Research involving human subjects conducted or supported by the Department of Health and Human Services, or other federal departments or agencies that apply the Federal Policy for the Protection of Human Subjects to such research; and/or
- b) Clinical investigations regulated by the Food and Drug Administration (FDA) of the Department of Health and Human Services

This form is to be used for the following purposes:

Fields with an * are required for OHRP IRBs and FDA IRBs
Fields with an are required for OHRP IRBs but are optional for FDA IRBs
Fields with an ‡ are required for FDA IRBs but are optional for OHRP IRBs
Fields with no symbol are optional for both OHRP IRBs and FDA IRBs

1. *Has your institution or organization previously registered an IRB with the Office for Human Research Protections (OHRP)?

[X] Yes, proceed to section 2 [] No, proceed to section 3

2. *What is your institution or organization (IORG) number? IORG0001492

This number was provided by OHRP the first time your institution or organization registered an IRB. If you do not know your IORG number, search for your institution or organization on the OHRP website at http://ohrp.cit.nih.gov/search/search.aspx or contact OHRP using the contact information at http://www.hhs.gov/ohrp/daqi-staff.html or by telephone at 1-866-447-4777.

3. Name of Institution or Organization Operating the IRB(s)

*Name of Institution or Organization: University of Cape Town

*Mailing Address: **Private Bag X3 Rondebosch**7700

*Street Address (if different from the Mailing Address above):

Bremner Building Lovers Walk, Rondebosch

*City: Cape Town *State/Province: *Zip/Postal Code:

*Country (if outside the U.S.): **SOUTH AFRICA**

4. Senior Officer or Head Official of Institution or Organization Responsible for Overseeing the Activities Performed by the IRB(s)

*First Name: **Royston** Middle Initial: *Last Name: **Pillay**

Earned Degree(s): **BA**, **BEd**, **MBA** Title or Position: **Registrar**

*Mailing Address (if different from the Mailing Address in section 3):

Private Bag X3 Rondebosch 7701 South Africa Postcode 7701

*City: Cape Town *State/Province: *Zip/Postal Code:

*Country (if outside the U.S.): **SOUTH AFRICA**

*Phone: +27 21 650 2115 *FAX: +27 21 650-2138 *E-Mail: royston.pillay@uct.ac. za

5. Contact Person Providing this Registration Information

*First Name: Lyn Middle Initial: M *Last Name: Horn

Earned Degree(s): **MD, PhD** Title or Position: **Director, Office of Research Integrity** Name of Institution or Organization (if different from the Name in section 3):

University of Cape Town

*Mailing Address (if different from the Mailing Address in section 3):

Allan Cormack House, 2 Rhodes Ave. Rm 2.01 Mowbray 7700 South Africa Postcode 7700

*City: Cape Town *State/Province: *Zip/Postal Code:

*Country (if outside the **SOUTH AFRICA**

*Phone: +27 21 650-1287 *FAX: +27 21 650-5768 *E-Mail: lyn.horn@uct.ac.za

6. IRB Registration Information (to be completed separately for each IRB being renewed/updated or newly registered)

A. *Is this a renewal or update of a registration for an IRB already registered with HHS?

[X] Yes. Provide the IRB registration number previously assigned to this IRB by OHRP: IRB00001937

(This number was provided by OHRP the first time the IRB was registered with OHRP. If you do not know the IRB registration number, search for the IRB on the OHRP website at http://ohrp.cit.nih.gov/search/search.aspx or contact OHRP using the contact information at http://www.hhs.gov/ohrp/daqi-staff.html or by telephone at 1-866-447-4777)

- [] No, this is a new IRB registration.
- B. Provide the IRB name, if any, used by the institution or organization (e.g., State University Behavioral IRB, University Healthcare Biomedical IRB, or XYZ Hospital IRB #1):

U of Cape Town IRB #1 - Senate Ethics in Rsch Committee

C. Location of the IRB

*Mailing Address (if different from the Mailing Address in section 3):

Private Bag X3 Rondebosch 7701

*Street Address of the IRB (if different from the Mailing Address of the IRB):

Bremmer Building

Lovers Walk, Rondebosch 7701

*City: Cape Town *State/Province: *Zip/Postal Code:

*Country (if outside the U.S.): **SOUTH AFRICA**

- D. Approximate number of full time equivalent positions devoted to the IRB's administrative activities:
- E. Approximate number of all active protocols (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):
- F. Approximate number of active protocols conducted or supported by HHS (e.g., the National Institutes of Health, Centers for Disease Control and Prevention, etc.) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):

- G. ‡For IRBs that review, or intend to review, protocols involving products regulated by the Food and Drug Administration (FDA) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):
 - ‡i) Approximate number of active protocols involving FDA-regulated products:

‡ii) Types of FDA-regulated products involved in FDA protocols include (check all that apply):

human drugs food additives medical devices color additives biological other Specify

H. IRB Chairperson

*First Name: Marc Middle Initial: *Last Name: Blockman

Earned Degree(s): **BPharm, M.D.,** Title or Position: **Professor MMed**

Mailing Address (if different from the Mailing Address in section 3):

Dept. Clinical Pharmacology

Faculty of Medicine

University of Cape Town Private Bag X3

Rondebosch 7701

City: Cape Town State/Province: Zip/Postal Code:

Country (if outside the U.S.): **SOUTH AFRICA**

*Phone: 27 21 406 6496 FAX: 27 21 650-5768 *E-Mail: marc.blockman@uct.ac.za

I. IRB Roster Form: Completion of the IRB Roster Form is required if your IRB is designated on a Federalwide assurance submitted to OHRP. Otherwise, it is optional.

Member Name (Last, First)	Sex M/F	Earned Degree(s)	Scientist (S) Non-scientist (N)	Primary Scientific or Non-Scientific Specialty	Affiliation with Institution(s) Y/N	Comments
Blockman, Marc	M	BSc(Pharm) MD,MMed	S	Clinical Pharmacology	Y	Chair
Horn, Lyn	F	M.D, PhD	N	Ethicisit	Y	
Hunter, Alistair	M	M.D	S	Clinician	Y	
Von Blottnitz, Harro	M	BSc.Eng. MSc.Eng.	N	Engineering	Y	
Rousseau, Jacques	M	M.A	N	Philosophy	Y	
Moultrie, Tom	M	PhD	S	Demography	Y	
Karr, Bodhisattva	M	PhD	N	Historical Studies	Y	
Densmore, Melissa	F	PhD	S	Computer Science	Y	
Makama, Refiloe	F	PhD	S	Pyschology	Y	
Kathard, Harsha	F	PhD	S	Communication Sciences	Y	
Stoop, Helena	F	PhD, LLM	N	Law	Y	
Steyn, Sanet	F	NIL	N	Academic literacy	Y	
Mchuchu, Tarisai	F	LLB	N	Law	N	
Auerbach Jahajeeah, Jess	F	PhD	N	Anthropology	Y	
Swai, Marlon	M	PhD	N	Social and Cultural Analysis Studies	Y	

Alternative Members

NOTES:

Members whose training, background, and occupation would incline them to view scientific activities from the standpoint of someone within a behavioral or biomedical research discipline should be considered a scientist, while members whose training, background, and occupation would incline them to view research activities from a standpoint outside of any biomedical or behavioral scientific discipline should be considered a nonscientist. In addition, the IRB must have members with sufficient knowledge of the specific scientific discipline(s) relevant to the research that it reviews.

Affiliation: Please indicate whether or not each individual (or a member of that person's immediate family) is affiliated (other than as an IRB member) with the institution or organization operating the IRB.

Yes = The IRB member is affiliated with the institution or organization operating the IRB.

No = The individual is not affiliated with the institution or organization operating the IRB.

Alternate Members: An alternate member(s) may be designated, as needed, for a regular voting member(s). An alternate member may vote only when the regular voting member is not voting.

When an institution or organization registers two or more IRBs, all alternate members for all IRBs may be listed on the roster of one IRB, or they may be listed separately with each IRB roster. A primary member of any IRB registered under the same IORG number may serve as an alternate for any comparably qualified member on any other IRB of that institution or organization. Primary members on registered IRBs serving as alternate members do not need to be listed as an alternate on any roster. Each alternate IRB member who replaces a primary member at any given meeting should have experience, expertise, background, professional competence, and knowledge comparable to that of the primary IRB member whom the alternate will replace. Whenever an alternate member substitutes for a primary member of the IRB, the combined requirements of § 46.107(a) and 46.108(b) shall remain satisfied. Whenever this occurs, the minutes of the IRB meeting should indicate clearly that the alternate IRB member has replaced the designated primary IRB member, and include the identity of the replaced primary and the alternate members. If multiple alternate members serve at an IRB meeting, the pairing of primary and alternate members should be indicated.

A. *Is this a renewal or update of a registration for an IRB already registered with HHS?

[X] Yes. Provide the IRB registration number previously assigned to this IRB by OHRP: IRB00001938

(This number was provided by OHRP the first time the IRB was registered with OHRP. If you do not know the IRB registration number, search for the IRB on the OHRP website at http://ohrp.cit.nih.gov/search/search.aspx or contact OHRP using the contact information at http://www.hhs.gov/ohrp/daqi-staff.html or by telephone at 1-866-447-4777)

[] No, this is a new IRB registration.

B. Provide the IRB name, if any, used by the institution or organization (e.g., State University Behavioral IRB, University Healthcare Biomedical IRB, or XYZ Hospital IRB #1):

U Cape Town IRB #2 - HS Rsch & Ethics Committee

C. Location of the IRB

*Mailing Address (if different from the Mailing Address in section 3):

Private Bag X3 Lovers Walk Rondebosch, 7701

*Street Address of the IRB (if different from the Mailing Address of the IRB):

Bremmer Building

Lovers Walk, Rondebosch 7701

*City: Cape Town *State/Province: *Zip/Postal Code:

*Country (if outside the U.S.): **SOUTH AFRICA**

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- D. Approximate number of full time equivalent positions devoted to the IRB's administrative activities:
- E. Approximate number of all active protocols (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):

- F. Approximate number of active protocols conducted or supported by HHS (e.g., the National Institutes of Health, Centers for Disease Control and Prevention, etc.) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):
- 80

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- G. ‡For IRBs that review, or intend to review, protocols involving products regulated by the Food and Drug Administration (FDA) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):
 - ‡i) Approximate number of active protocols involving FDA-regulated products:

‡ii) Types of FDA-regulated products involved in FDA protocols include

(check all that apply):

X human drugs food additives

X medical devices color additives

X biological other

Specify

H. IRB Chairperson

*First Name: Marc Middle Initial: *Last Name: Blockman

Earned Degree(s): MD

Title or Position: Associate Professor Medicine/IRB
Chairperson

Mailing Address (if different from the Mailing Address in section 3):

Department of Pharmacology

K45, Old Main Building

Groote Schuur Hospital

Observatory 7935 South Africa

City: Cape Town State/Province: Zip/Postal Code:

Country (if outside the U.S.): **SOUTH AFRICA**

*Phone: 27 21 406-6496 FAX: 27 21 448-1989 *E-Mail: marc.blockman@uct.ac.za

I. IRB Roster Form: Completion of the IRB Roster Form is required if your IRB is designated on a Federalwide assurance submitted to OHRP. Otherwise, it is optional.

Member Name (Last, First)	Sex M/F	Earned Degree(s)	Scientist (S) Non-scientist (N)	Primary Scientific or Non-Scientific Specialty	Affiliation with Institution(s) Y/N	Comments
Blockman, Marc	M	MD	S	Pharmacology	Y	0 0111110110
Hunter, Alistair	M	PhD	S	Oncology	Y	Deputy Chair
Clow, Sheila	F	PhD, MSc, BSocSci	S	Primary Scientific	Y	T will
Burgess, Theresa	F	PhD, Bsc(Physioth era	S	Physiotherapy	Y	Deputy Chair
Mowla, Shaheen	F	MD	S	haematology	Y	
Naidoo, Lionel	M	MSc	S	health and rehabilitation	Y	
Warden, Claire	F	MD	S	surgery	Y	
Vusani, Nothemba	F	BA LLB	N	community health organisations	N	
Blom, Dirk	M	MD	S	internal medicine	Y	
Carrihill, Michelle	F	MD	S	paediatrics	Y	
Cohen, Karin	F	MD	S	pharmacology	Y	
Dandara, Collet	F	PhD	S	human genetics	Y	
Morrow, Brenda	F	PhD	S	paediatrics	Y	
Mohamed, Zainab	F	PhD	S	Physiotherapy	Y	
Hendriks, Sharief	M	PhD	S	Sports Science	Y	
Stassen, Willem	F	PhD	S	Emergency Medicine	Y	
Adams, Tracey	F	Medicine	S	Obstetrics and Gynaecology	Y	
Garman, Emily	F	PhD	S	Psychiatry & Mental Health	Y	
Royle, Laura	F	PhD	S	Forensic Medicine and Toxicology	Y	
Mohamed, Zainab	F	PhD	S	Radiation Oncology	Y	
Patel, Malika	F	MBChB	S	Obstetrics & Gynaecology	Y	
Pillay, Shakti	F	Medicine	S	Paediatrics & Child Health	Y	
Wessels, Tina-Marie	F	PhD	S	Genomics	Y	
Nejthardt, Marcin	M	Medicine	S	Anaesthetist	Y	
Zinyakatira, Nesbert	M	MSc	S	Demographer/ Epidemiologist	Y	

Alternative Members

NOTES:

Members whose training, background, and occupation would incline them to view scientific activities from the standpoint of someone within a behavioral or biomedical research discipline should be considered a scientist, while members whose training, background, and occupation would incline them to view research activities from a standpoint outside of any biomedical or behavioral scientific discipline should be considered a nonscientist. In addition, the IRB must have members with sufficient knowledge of the specific scientific discipline(s) relevant to the research that it reviews.

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A. *Is this a renewal or update of a registration for an IRB already registered with HHS?

[X] Yes. Provide the IRB registration number previously assigned to this IRB by OHRP: IRB00014464

(This number was provided by OHRP the first time the IRB was registered with OHRP. If you do not know the IRB registration number, search for the IRB on the OHRP website at http://ohrp.cit.nih.gov/search/search.aspx or contact OHRP using the contact information at http://www.hhs.gov/ohrp/daqi-staff.html or by telephone at 1-866-447-4777)

[] No, this is a new IRB registration.

B. Provide the IRB name, if any, used by the institution or organization (e.g., State University Behavioral IRB, University Healthcare Biomedical IRB, or XYZ Hospital IRB #1):

U of Cape Town IRB#3 – Inter-Faculty Human Rsch Ethics Committee

C. Location of the IRB

*Mailing Address (if different from the Mailing Address in section 3):

Private Bag X3 Rondebosch 7700 7700

*Street Address of the IRB (if different from the Mailing Address of the IRB):

Bremner Building

Lovers Walk, Rondebosch

*City: Cape Town *State/Province: *Zip/Postal Code:

*Country (if outside the U.S.): **SOUTH AFRICA**

*Phone: +27 21 6501287 *FAX: +27 21 6505768 *E-Mail: lyn.horn@uct.ac.za

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- D. Approximate number of full time equivalent positions devoted to the IRB's administrative activities:
- E. Approximate number of all active protocols (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):

- F. Approximate number of active protocols conducted or supported by HHS (e.g., the National Institutes of Health, Centers for Disease Control and Prevention, etc.) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):
- G. ‡For IRBs that review, or intend to review, protocols involving products regulated by the Food and Drug Administration (FDA) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):

i) Approximate number of active protocols involving FDA-regulated products:

‡ii) Types of FDA-regulated products involved in FDA protocols include (check all that apply):

human drugs food additives medical devices color additives biological other Specify

H. IRB Chairperson

*First Name: Jacques Middle Initial: *Last Name: Rousseau

Earned Degree(s): **MA** Title or Position: **Mr**

Mailing Address (if different from the Mailing Address in section 3):

Private Bag X3 Rondebosch

South Africa Postcode 7701

1 ostcode 7701

City: CAPETOWN State/Province: Zip/Postal Code:

Country (if outside the U.S.): **SOUTH AFRICA**

*Phone: +27 21 650 2471 FAX: *E-Mail: jacques.rousseau@uct.ac.za

I. IRB Roster Form: Completion of the IRB Roster Form is required if your IRB is designated on a Federalwide assurance submitted to OHRP. Otherwise, it is optional.

Member Name (Last, First)	Sex M/F	Earned Degree(s)	Scientist (S) Non-scientist (N)	Primary Scientific or Non-Scientific Specialty	Affiliation with Institution(s) Y/N	Comments
Rousseau, Jacques	M	MA	N	Philosophy	Y	
Adams, Sabirah	F	PhD	S Psychology Y		Y	
Altwegg, Res	M	PhD	S	• • •		
Andrews, Greg	M	BA	N	Non scientist	N	
Burgess, Theresa	F	PhD	S	Physiotherapy	Y	
Cox, Glenda	F	PhD	N	Education	Y	
Densmore, Melissa	F	PhD	S	Computer Science	Y	
Fuh, Divine	M	PhD	S	Social Anthropology	Y	
Herbst, Anri	F	PhD	S	Music Education	Y	
Job, Jacki	F	PhD	N	Dance & Y Performance Studies		
Kinnes, Irvin	M	PhD	N	Law	Y	
Moult, Kelley	F	PhD	N	Law Y		
Moultrie, Tom	M	PhD	S	Demography	ography Y	
Moyo, Pilate	M	PhD	S	Engineering	g Y	
Naledi, Tracey	F	PhD	S	Public Health	Y	
Solomon, Nikiwe	F	PhD	S	Anthropology	Y	
Battersby, Jane	M	PhD	S	Environmental & Geographical Science	Y	
Viruly, Francois	M	PhD	S	Engineering	Y	
Toska, Elona	F	PhD	S	Sociology	Y	
Savahl, Shazly	M	PhD	S	Psychology	N	

Alternative Members

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